

## Holding of specified securities

- 1 Name of Listed Entity: APEX CAPITAL MARKETS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : [BSE: 10011122 ]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

31-Mar-21

NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes *	No *
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



**APEX CAPITAL MARKETS LIMITED**

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.32	0	0	0	0	0	1749700	
(B)	Public	923	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	0	0	NA	0	54500	
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	0	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0.00	0	0	NA	0	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	0	
	Total	933	3000100	0	0	3000100	100.00	3000100	0	3000100	100.00	0	0	0	0	0	1804200	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder	PAN	Nos. of sharehold	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)+(VII)+(VIII)+(IX)+(X)+(XI)+(XII)+(XIII)+(XIV)	Shareholding, as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise held in dematerialized form		
								Class eg: X eg: Y	Total	Total as a % of (A+B+C)			No. (a) total Shares held (b)	No. (a) of total Shares held (b)			
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(VII) = (IV)+(V)+(VI)+(VII)+(VIII)+(IX)+(X)+(XI)+(XII)+(XIII)+(XIV)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)	(XIV)
1) Indian																	
(a) Individuals/Hindu undivided Family		7	706900				706900	23.56	706900		706900	23.56					706900
KAMAL KISHORE LALWANI (HUF)		0	228100				228100	7.60	228100		228100	7.60					228100
KAMAL KISHORE LALWANI		0	63000				63000	2.10	63000		63000	2.10					63000
SNEH LATA LALWANI		0	196400				196400	6.55	196400		196400	6.55					196400
SUNDEEP LALWANI		0	43800				43800	1.46	43800		43800	1.46					43800
SUNIL KUMAR LALWANI		0	153800				153800	5.13	153800		153800	5.13					153800
Central Government/ State Government(s)																	
(b) Name (xyz...)																	
Financial institutions/ Banks																	
(c) Name (xyz...)																	
(d) Body Corporates		3	1042800				1042800	34.76	1042800		1042800	34.76					1042800
LALWANI CAPITAL MARKETS LTD		0	502900				502900	16.76	502900		502900	16.76					502900
LALWANI FERRO ALLOYA LTD		0	199900				199900	6.66	199900		199900	6.66					199900
LALWANI METALLICS PVT LTD		0	340000				340000	11.33	340000		340000	11.33					340000
Sub-Total (A)(1)		10	1749700	0	0		1749700	58.32	1749700	0	1749700	58.3214	0	0	0	0	1749700
2) Foreign							0										
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)							0										
Name (xyz...)							0										
(b) Government							0										
Name (xyz...)							0										
(c) Institutions		0	0				0	0.00	0		0	0.00					0
Name (xyz...)							0										
Foreign Portfolio Investor							0										
Name (xyz...)							0										
(d) Any Other (specify)							0										
Name (xyz...)							0										
Sub-Total (A)(2)		0	0	0	0	0	0.00		0	0	0	0.00	0	0	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																	
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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																	
Category of shareholder	PAN	Nos. of sharehold	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (iv)+(v)+(vi)+(vii)	Shareholding, as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)= (vii)+(x) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								Class eg: X eg: Y	Class Y	Total			Total as a % of (A+B+C)	No. (a) As a % of total Shares held (b)	No. (a) As a % of total Shares held (b)		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)+(vii)	(viii)	(ix)			(x)	(xi) = (vii)+(x)	(xii)		(xiii)	(xiv)	
Total Shareholding of Promoter and Promoter Group (A) = (A1)+(A2)		10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.3214	0	0	0	0	0	1749700
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



**APEX CAPITAL MARKETS LIMITED**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category	Category of shareholder	PAN	Nos. of shareholder	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights Class eg: X eg: Y	Total	Total as a % of (A+B+C)					
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)	(XIII)	(XIV)
1)	Institutions															
(a)	Mutual Funds/ Venture Capital Funds		0	0			0	0.00	0		0	0.00			NA	0
(b)	Name (xyz...)														NA	
(c)	Alternate Investment Funds														NA	
	Name (xyz...)														NA	
(d)	Foreign Venture Capital Investors														NA	
	Name (xyz...)														NA	
(E)	Foreign Portfolio Investors		0	0			0	0.00	0		0	0.00			NA	0
(F)	Financial Institutions/ Banks		0	0			0	0.00	0		0	0.00			NA	0
	Name (xyz...)														NA	
(g)	Insurance Companies		0	0			0	0.00	0		0	0.00			NA	0
(h)	Provident Funds/ Pension Funds														NA	
	Name (xyz...)														NA	
(I)	Any Other (specify)														NA	
	Name (xyz...)														NA	
	Sub-Total (B)(1)		0	0			0	0.00	0		0	0.00			NA	0
2)	Central Government/ State Government(s)/ President of India														NA	
	Name (xyz...)														NA	
	Sub-Total (B)(2)		0	0			0	0	0		0	0			NA	0
3)	Non-Institutions														NA	
(a)	Individuals -															
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		905	173700			173700	5.79	173700		173700	5.79			NA	-116200
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		7	342500			342500	11.42	342500		342500	11.42			NA	153800
	Name (xyz...)														NA	
	1 HEMANT JOSHI			41800			41800	1.39	41800		41800	1.39				
	2 MANOJ BENGANI			32900			32900	1.10	32900		32900	1.10				
(b)	NBFCs registered with RBI														NA	
	Name (xyz...)														NA	
(c)	Employee Trusts														NA	
	Name (xyz...)														NA	

**APEX CAPITAL MARKETS LIMITED**

Table III - Statement showing shareholding pattern of the Public shareholder																	
Gate gory	Category of shareholder	PAN	Nos. of sharehol ders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholdin g as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerializ ed form (XIV)
									No of Voting Rights Class eg: X Class eg: Y		Total			Total as a % of (A+B+C)	No. (a)		
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+( VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)	(XIV)
	Overseas Depositories (holding DRs) (balancing figure)															NA NA	
	Name (xyz...)															NA NA	
(e)	Body Corporates (India) & Trusts		11	734200			734200	24.47	734200		734200	24.47	24.47			NA	16900
1	SPA TRADE & INVESTMENT PVT LTD			375000			375000	12.50	375000		375000	12.50	12.50				
2	YAC TRADING & INVESTMENTS PVT LTD			150000			150000	5.00	150000		150000	5.00	5.00				
3	AMBER CAPITAL MARKETS LTD			70000			70000	2.33	70000		70000	2.33	2.33				
4	POONAM MERCANTILE (P) LTD			50000			50000	1.67	50000		50000	1.67	1.67				
5	MURABROWN TRADING (P) LTD			45000			45000	1.50	45000		45000	1.50	1.50				
	Name (xyz...)															NA	
(f)	Directors		0	0			0	0.00	0		0	0.00	0.00			NA	0
	Name (xyz...)															NA	
	Sub-Total (B)(3)		923	1250400			1250400	41.68	1250400	0	1250400	41.68	41.68	0	0	NA	545000
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		923	1250400			1250400	41.68	1250400	0	1250400	41.68	41.68	0	0	NA	545000
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																	

Note: Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category	Category of shareholder	PAN (II)	Nos. of shareholder s (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
Y									No of Voting Rights Class eg: X	Class eg: Y	Total as a % of (A+B+C)			No. (a) total Shares held (b)	No. (a) of total Shares held (b)			
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)
1)	Custodian/DR Holder																	
	(a) Name of DR Holder (if available)																	
	(i) abc...																	
	(ii) efg...																	
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																	
	(a) Name (...)																	
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

